NOTICE OF PRIVACY POLICY

Sweetwater Financial Advisors, LLC, is committed to maintaining the trust and confidence of our clients. We want you to understand how we protect your privacy when we collect and use information about you, and the measures we take to safeguard that information. Keeping client information secure and private is a priority for us.

<u>Types of Nonpublic Personal Information We Collect</u>

We collect nonpublic personal information about you that is provided to us by you, or contained by us with your authorization.

We collect nonpublic information about you from the following sources:

- Information from you on account applications, life insurance applications, and other standard forms (for example, name, address, social security number, assets, types and amounts of investments, transactions, and income);
- Information about your Sweetwater Financial Advisors, LLC, transactions or our affiliates including those companies that work closely with us to provide you with diverse financial products and services (for example, your account balance, payment history, parties to transactions, types and amounts of investments, and transactions);
- Information obtained when verifying the information you provide on applications or other forms (this may be obtained from institutions where you conduct financial transactions).

<u>Parties to Whom We Disclose Information</u>

We do not sell, share or disclose your nonpublic personal information to non-affiliated third party financial companies. We may disclose all of the information we collect to companies that perform financial services on our behalf. All of these companies are contractually obligated to keep the information that we provide to them confidential and use the information only for the services required and as allowed by applicable law or regulation, and are not permitted to share or use the information for any other purpose.

We may also disclose nonpublic personal information about you under circumstances as permitted or required by law. These disclosures typically include information to process transactions on your behalf, to conduct our operations, to follow instructions as you authorize, or to protect the security of our financial records.

<u>Protecting the Confidentiality and Security of Current and Former Client Information</u>

We retain records relating to professional services that we provide so that we are better able to assist you with your financial and professional needs, and in some cases, to comply with professional guidelines. In order to guard your nonpublic personal information, we maintain physical, electronic, and procedural safeguards that comply with our professional standards.

If you decide to close your account(s) or become an inactive client, we will adhere to the privacy policies and practices as described in this notice.

If you have any questions after reading this Privacy Policy, please contact us toll free at 877.495.4447 or 281.491.3333.